

PART E: ANTI-DOPING REGULATIONS

INTRODUCTION

1. These Anti-Doping Regulations form part of this Code.
2. Except where stated to the contrary or the context otherwise requires, the relevant provisions in Part A (general regulations) and Part G (definitions) of this Code apply to these Anti-Doping Regulations.
3. The purpose of these Anti-Doping Regulations is to maintain the integrity of the Game and to protect the health and rights of all players of the Game.
4. The effective date of these Anti-Doping Regulations is 1 January 2023 (“**ADR Effective Date**”).
5. All Participants must ensure that they are aware of (and must comply in full with) the most up-to-date versions of these Anti-Doping Regulations from time to time, including all codes, rules, documents and others referred to in, or incorporated into, them.

BACKGROUND

6. The LTA is a member of the ITF. WADA adopts, publishes and implements the World Anti-Doping Code (as amended from time to time, the “**WAD Code**”). The ITF is a signatory to the WAD Code. The ITF adopts and implements a “Tennis Anti-Doping Programme” (as amended from time to time, the “**ITF Programme**”) pursuant to the mandatory provisions of the WAD Code, as part of the continuing efforts of the ITF (and others) to keep doping out of tennis.
7. As recognised by WADA and for the purposes of the ITF Programme, UKAD is the “National Anti-Doping Organisation” for the United Kingdom.
8. UKAD is responsible for ensuring sports bodies in the United Kingdom are compliant with the WAD Code through implementation and management of the “UK National Anti-Doping Policy”. UKAD is a non-departmental public body which is accountable to the United Kingdom Parliament.
9. UKAD adopts, publishes and implements the “UK Anti-Doping Rules” (as amended from time to time, the “**UKAD Rules**”) which are intended to implement the requirements of the WAD Code on a national basis within the United Kingdom.
10. The UKAD Rules may be adopted and incorporated into the rulebook of any national governing body in the United Kingdom, and may be amended and/or supplemented to reflect the specificities of its sport provided that the rules remain compliant with the WAD Code.
11. As the national governing body for, and with responsibility for the governance and regulation of, the Game in Great Britain, the Channel Islands and the Isle of Man, the LTA has various anti-doping roles and responsibilities under the “UK National Anti-Doping Policy”.

ADOPTION OF THE UKAD RULES

12. The LTA hereby adopts, as its own Anti-Doping Regulations, the UKAD Rules in their entirety (including any international standards and other documents stated to be adopted and incorporated into them), subject only to the specific amendments and supplemental provisions set out in paragraphs 14 to 28 below.

13. The latest version of the UKAD Rules is available on the UKAD website (www.ukad.org.uk).

SCOPE AND APPLICATION

14. The following paragraphs 15 to 18 replace Articles 1.2.1 to 1.2.3 of the UKAD Rules in these Anti-Doping Regulations.
15. These Anti-Doping Regulations apply to all participants in (or in connection with) the Game, including:
 - 15.1 all Players (including International-Level Players, National Level Players and Recreational Players) and Player Support Persons who are members of the LTA and/or of the LTA's Members or Registered Organisations, or licensees of the LTA (including any clubs, teams, associations or leagues), or otherwise under the jurisdiction of the LTA;
 - 15.2 all Players (including International-Level Players, National Level Players and Recreational Players) and Player Support Persons entering or participating in such capacity in Events and/or other activities organised, convened, authorised or recognised by the LTA or any of its Members or Registered Organisations, or licensees of the LTA (including any clubs, teams, associations or leagues), wherever held;
 - 15.3 any other Player (including International-Level Players, National Level Players and Recreational Players) or Player Support Person or other person who, by virtue of a contractual arrangement or otherwise, is subject to the authority or jurisdiction of the LTA for purposes of anti-doping; and
 - 15.4 any other person over whom the LTA has authority;

in each case, whether or not such person is a citizen of or resident in Great Britain, the Channel Islands or the Isle of Man.
16. Each Participant will be bound by and must strictly comply in full with these Anti-Doping Regulations to the extent applicable to that person (and that being without prejudice to any other anti-doping programme or rules applicable to that person and with which that person must also comply (in addition), including the ITF Programme).
17. Each Participant will be deemed to have agreed:
 - 17.1 to submit to the authority of the LTA and UKAD to apply and enforce these Anti-Doping Regulations;
 - 17.2 to provide all requested assistance to the LTA and UKAD (as applicable) in the application and enforcement of these Anti-Doping Regulations, including cooperating fully with any investigation, results management exercise, and/or proceedings being conducted pursuant to these Anti-Doping Regulations in relation to any potential Anti-Doping Rule Violation(s);
 - 17.3 to submit to the exclusive jurisdiction of any NADP first instance tribunal convened under these Anti-Doping Regulations to hear and determine charges and related issues arising under these Anti-Doping Regulations;
 - 17.4 to submit to the exclusive jurisdiction of any NADP appeal tribunal and/or CAS panel convened under these Anti-Doping Regulations to hear and determine appeals made pursuant to these Anti-Doping Regulations;

- 17.5 not to bring any proceedings in any court or other forum that are inconsistent with the foregoing submission to the jurisdiction of the NADP first instance tribunal, the NADP appeal tribunal and CAS; and
- 17.6 that the provisions of paragraph 10 of the Disciplinary Regulations apply in these Anti-Doping Regulations with any such modifications as may be required in this context.
18. Certain Participants who are subject to the authority of the LTA may also be subject to the anti-doping rules of other Anti-Doping Organisations, including the ITF Programme, and the same conduct of such Participants may engage not only these Anti-Doping Regulations but also the rules of such other Anti-Doping Organisations. These Anti-Doping Regulations are not intended to limit the responsibilities of any Participant under such other rules, including the ITF Programme. The jurisdictional and other issues arising when the same conduct engages these Anti-Doping Regulations and such other rules (including the ITF Programme) will be resolved in accordance with the WAD Code.

SPECIFIC AMENDMENTS AND SUPPLEMENTAL PROVISIONS

19. The amendments and supplemental provisions set out in paragraphs 20 to 28 have been made (with the approval of UKAD) to reflect the specificities of the Game. For the purposes of these Anti-Doping Regulations, the UKAD Rules will be amended and supplemented by such provisions and will be interpreted and applied accordingly.
20. Subject to paragraphs 21 to 28 of these Anti-Doping Regulations, any capitalised term used in the UKAD Rules will have the meaning given to it in the UKAD Rules (including the appendix to them).
21. For the purposes of these Anti-Doping Regulations, any reference in the UKAD Rules to:
- 21.1 an “**Athlete**” will be read and interpreted as a reference to a Player, and the terms National Level Athlete, Recreational Athlete and Athlete Support Person will be construed accordingly.
- 21.2 the “**Code**” will be read and interpreted as a reference to the WAD Code.
- 21.3 the “**Effective Date**” will be read and interpreted as a reference to the ADR Effective Date.
- 21.4 an “**International-Level Athlete**” will be read and interpreted as a reference to an International-Level Player (as defined in paragraph 23.3 of these Anti-Doping Regulations).
- 21.5 “**NGB**” will be read and interpreted as a reference to the LTA.
22. For the purposes of these Anti-Doping Regulations, the following terms will have the meanings respectively given to them below (which will substitute and replace the existing definitions in the UKAD Rules):
- 22.1 **Competition:** has the meaning given to that term in the ITF Programme from time to time and, as at the ADR Effective Date, means a single race, match, game or other sport contest. In tennis specifically, any stand-alone competition held as part of an Event, such as a singles competition or a doubles or mixed doubles competition.
- 22.2 **In-Competition:** means the period(s) so described in Article 5.3.4.

- 22.3 **International Event:** has the meaning given to that term in the ITF Programme from time to time and, as at the ADR Effective Date, means an Event or Competition where the International Olympic Tribunal, the International Paralympic Tribunal, an international federation, a Major Event Organisation or another international sport organisation is the ruling body for the Event or appoints the technical officials for the Event. In respect of the ITF, an Event is an International Event if it is a Covered Event.
23. For the purposes of these Anti-Doping Regulations, the following terms will have the meanings respectively given to them below:
- 23.1 **ATP:** the Association of Tennis Professionals (and/or any successor entities), the governing body of the worldwide men's professional tennis circuits, and which is administered and governed by ATP Tour, Inc., a corporation registered in the United States of America.
- 23.2 **Covered Event(s):** has the meaning given to that term in the ITF Programme from time to time and, as at the ADR Effective Date, means the Grand Slam tournaments, Davis Cup, Billie Jean King Cup, Hopman Cup, the Olympic Tennis event, the Paralympic Tennis event, other IOC-recognised International Events, WTA tournaments and WTA Finals and WTA Elite Trophy, ATP Tour tournaments and ATP Finals, ATP Cup, Next Gen ATP Finals, ATP Challenger Tour tournaments, ITF World Tennis Tour events, ITF Juniors events, ITF World Tennis Masters Tour events, ITF Wheelchair events, and ITF Beach Tennis Tour events.
- 23.3 **International-Level Player:** has the meaning given to that term in the ITF Programme from time to time and, as at the ADR Effective Date, means any Player who enters or participates in more than one Covered Event (whether in qualifying or in main draw).
- 23.4 **Prize Money:** has the meaning given to that term in the ITF Programme from time to time (as applied to these Anti-Doping Regulations) and, as at the ADR Effective Date, means all of the consideration provided by the organiser of a Competition as a reward for performance in the Competition, whether monetary (i.e. cash) or non-monetary (e.g. a trophy, vehicle or other prize). Where the reward is attributable to performance as part of a team, the rules of the Competition may provide for how much of the reward is to be allocated to a Player for purposes of forfeiture under these Anti-Doping Regulations. Such rules will be without prejudice to the provisions of Article 9 with respect to doubles Prize Money. Any Prize Money forfeited must be repaid without deducting tax paid by or on behalf of the Player, unless the Player shows by means of independent and verifiable evidence that such tax has been paid and is not recoverable by the Player.
- 23.5 **WTA:** the Women's Tennis Association (and/or any successor entities), the governing body of the worldwide circuit of women's professional tennis events, and which is administered and governed by WTA Tour, Inc., a corporation registered in the United States of America.
24. **In-Competition Testing:** The following new (additional) Articles will be inserted into these Anti-Doping Regulations as Articles 5.3.4 and 5.3.5 (after the existing Article 5.3.3 of the UKAD Rules):
- 5.3.4 The following periods will be deemed "In-Competition Periods" and Samples collected during such a period will be deemed to have been collected "In-Competition" for the purposes of these Anti-Doping Regulations and the WAD Code:
- (a) from 11:59 p.m. local time on the day before the first match of the main draw (or of the qualifying draw, if the Player is participating in the qualifying draw) of the first Competition in which the Player is participating in an Event;

- (b) through to the end of the Player's last match (in any Competition) in the Event and the Sample collection process related to that match that is conducted pursuant to notification of Testing given to the Player no more than 60 minutes after the Player's last match (120 minutes if the Player's last match in the Event is the final match in the Competition in question); or
- (c) (where the Player is participating in the Event as a nominated member of a team) through to the end of the team's last match in the Event and the Sample collection process related to the team's last match in the Event that is conducted pursuant to notification of Testing given to the Player no more than 60 minutes after the team's last match in the Event (120 minutes if the team's last match in the Event is the final match in the Competition in question); or
- (d) (where the Player withdraws from the Event after the time noted at Article 5.3.4(a), whether before or after playing in any match at the Event) until the end of any Sample collection process conducted pursuant to notification of Testing given to the Player no more than 60 minutes after the Player has given notice of such withdrawal to the official at the Event specified in the Event rules. If so requested, the Player will remain at the Event venue for that 60-minute period to allow such notification to take place. If the Player's withdrawal is from a doubles Competition, their doubles partner must also submit to Testing at the same time if requested to do so and that Testing will also be In-Competition Testing.

5.3.5 If a Player withdraws or is defaulted from or 'no shows' at an Event after the time noted at Article 5.3.4(a), and the Player (and/or their doubles partner) cannot be given notification of Testing within 60 minutes of the Event official being advised of the withdrawal or default or 'no show' because the Player (and/or their doubles partner) is no longer at the Event venue, a Sample may be collected from the Player (and/or their doubles partner) subsequently, and any Sample collected pursuant to the notification of Testing given to the Player (and/or their doubles partner) within 12 hours of the time that the Player advised the Event official of their withdrawal or 'no show' will be deemed to have been collected In-Competition. The Player and/or their doubles partner (whichever of them could not be located) may be required to contribute to the cost of the subsequent Sample collection in an amount up to £5,000. In addition, consideration will be given to whether the Player and/or their doubles partner (whichever of them could not be located) should be charged with an Article 2.3 Anti-Doping Rule Violation (Evading, or refusing or failing to submit to, Sample Collection).

25. **Disqualification of results of doubles partner:** The following new (additional) Article will be inserted into these Anti-Doping Regulations as Article 9.3 (after the existing Article 9.2 of the UKAD Rules):

- 9.3 Where results obtained by a Player in a doubles Competition are Disqualified pursuant to Article 9.1 because of that Player's Anti-Doping Rule Violation in connection with or arising out of that doubles Competition, the result of the Player's doubles partner in that Competition will also be Disqualified, with all resulting Consequences, including forfeiture of all medals, titles, ranking points and Prize Money.
- 9.4 Where results obtained by a Player in a doubles Competition are Disqualified pursuant to Article 10.1 because of that Player's Anti-Doping Rule Violation in relation to another Competition at that Event, the result of the Player's doubles partner in that doubles Competition will also be Disqualified, with all resulting Consequences,

including forfeiture of all medals, titles, ranking points and Prize Money, unless the doubles partner establishes at a hearing, on the balance of probabilities:

- (a) that they were not implicated in the first Player's Anti-Doping Rule Violation; and
- (b) that the result in the doubles Competition was not likely to have been affected by the first Player's Anti-Doping Rule Violation.

9.5 Where results obtained by a Player in doubles Competition(s) in an Event played subsequent to the Competition that produced the positive Sample are Disqualified pursuant to Article 10.10 because of that Player's Anti-Doping Rule Violation, the result of the Player's doubles partner(s) in such subsequent Competition(s) will not be Disqualified unless the LTA or UKAD establishes, to the comfortable satisfaction of the NADP tribunal, that the doubles partner(s) was implicated in the first Player's Anti-Doping Rule Violation.

26. **Forfeited Prize Money:** The existing Article 10.11 of the UKAD Rules will be deemed to be deleted and replaced by the following:

10.11.1 If UKAD or the LTA recovers Prize Money forfeited as a result of an Anti-Doping Rule Violation, it will use it to defray the costs of operating the LTA's Anti-Doping Programme in terms of these Anti-Doping Regulations.

10.11.2 There will be no readjustment of medals, titles, or ranking points for any Player who lost to a Player subsequently found to have committed an Anti-Doping Rule Violation, except where provision is made for such readjustment in the regulations of the relevant Competition.

27. **Commencement of Consequences – forfeiture of ranking points:** The following new (additional) Article will be inserted into these Anti-Doping Regulations as Article 10.11.A (after the existing Article 10.11 and before the existing Article 10.12 of the UKAD Rules):

10.11A For purposes of forfeiture of ranking points, the decision will come into effect at midnight on the Sunday nearest to the date that the decision is issued.

28. These Anti-Doping Regulations are to be interpreted and applied by reference to the purposes set out at paragraph 3 of these Anti-Doping Regulations.

PART F: ANTI-CORRUPTION REGULATIONS

INTRODUCTION

1. The LTA has adopted these Anti-Corruption Regulations as the foundation for the fight against corruption in the Game within its jurisdiction. The purpose of these Anti-Corruption Regulations is to: (a) maintain the integrity of tennis; (b) protect against any efforts to impact improperly the results of any match; and (c) establish uniform rules and a consistent scheme of enforcement and sanctions applicable to all Events and the Game within the LTA's jurisdiction.
2. These Anti-Corruption Regulations are to be read alongside the provisions of Part A, the Disciplinary Regulations (in Part B), and the definitions in Part G, of this Code and are to be interpreted and applied by reference to the objectives and purposes set out at paragraph 1 of these Anti-Corruption Regulations (including where an issue arises that is not expressly addressed in these Anti-Corruption Regulations or the other applicable provisions of this Code).
3. In relation to the professional tennis matches and other tennis competitions identified in appendix 1 to the ITIA's "Tennis Anti-Corruption Program" (as such program is amended and/or updated from time to time), all Participants to which that program is stated to apply will be subject to that program. For all other matches and competitions (and for all other Participants), these Anti-Corruption Regulations will apply.

ANTI-CORRUPTION OFFENCES

4. Commission of any of the following offences (each an "**Anti-Corruption Offence**") will constitute Misconduct in accordance with the Disciplinary Regulations:
 - 4.1 No Participant will, directly or indirectly, Bet on the outcome or any other aspect of any Event or any other competition of, or in relation to, the Game.
 - 4.2 No Participant will, directly or indirectly, facilitate, encourage and/or promote Tennis Betting¹;
 - 4.3 No Participant will, directly or indirectly, accept, offer, provide, seek or obtain any accreditation for an Event: (a) for the purpose of facilitating a commission of an Anti-Corruption Offence; or (b) that leads, directly or indirectly, to the commission of an Anti-Corruption Offence, regardless whether any money or other Benefit is offered or discussed.
 - 4.4 No Participant will, directly or indirectly, contrive the outcome, or any other aspect, of any Event.
 - 4.5 No Participant will, directly or indirectly, facilitate any Player not to use their best efforts in any Event.
 - 4.6 No Participant will, directly or indirectly, receive or accept any money or other Benefit on the basis of not giving their best efforts in any Event and/or negatively influencing a Player's best efforts in any Event.

¹ By way of example, Facilitation includes: display of live tennis betting odds on a Participant's website or social media; participating in an interview, podcast, writing articles for a publication and/or website in support of Tennis Betting; conducting appearances for, or otherwise participating in any promotion, endorsement and/or commercial advertisement of a Tennis Betting brand; and promoting a Tennis Betting brand to the general public through posts on a Participant's social media account.

- 4.7 No Participant will, directly or indirectly, offer or provide any money or other Benefit to any other Participant with the intention of negatively influencing a Player's best efforts in any Event.
- 4.8 No Participant will, directly or indirectly, provide any Inside Information (a) in exchange for money or any other Benefit, or (b) when the Participant knew or reasonably should have known that the Inside Information might be used for betting purposes, and appears to have been so used, regardless of whether the Participant provided it for that purpose or obtained or sought any benefit in return for the Inside Information.
- 4.9 No Participant will, directly or indirectly, accept any money or other Benefit for the provision of any Inside Information.
- 4.10 No Participant will, directly or indirectly, offer or provide any money or other Benefit to any other Participant for the provision of any Inside Information.
- 4.11 No Participant will, directly or indirectly, offer or provide any money or other Benefit to any Tournament Support Person in exchange for any information or benefit relating to an Event.
- 4.12 No Participant will, directly or indirectly, offer, pay or accept any money or other Benefit for the provision of a wildcard to an Event.
- 4.13 No Participant will make any misrepresentation to seek or obtain on behalf of any person at any Event any registration or accreditation that allows access to areas such persons would not otherwise be permitted to access (for example, seeking accreditation for an individual to a "players only" area by falsely certifying that a person is the Participant's coach).
- 4.14 No Participant will:
- 4.14.1. purposely delay or manipulate entry of score(s) or scoring data from an Event for any reason; or
 - 4.14.2. directly or indirectly, offer, provide or accept any money or other Benefit for the delay or manipulation of score(s) or scoring data from an Event.
- 4.15 No Participant will, directly or indirectly, attempt, agree, or conspire to commit any Anti-Corruption Offence.
- 4.16 No Participant will, directly or indirectly, solicit, facilitate or incite any other person to commit, attempt, agree or conspire to commit any Anti-Corruption Offence.
- 4.17 No Participant will sell, purchase, collect, publish or make repeated transmissions of the contemporaneous results of any aspect of an Event without the consent of the LTA or Event, either on site at an Event ("courtsiding") or remotely during an Event by scraping or scouting information from websites, data streams or other electronic sources of live scoring data.
- 4.18 No Participant, whether personally or via another arrangement or legal entity, may endorse, be employed by, sponsored by or otherwise engaged by a company that directly offers and/or accepts Tennis Betting, unless such Participant has obtained the prior written consent of the LTA and provides such evidence to the LTA as the LTA may reasonably request from time to time to demonstrate that that Participant has had (and continues to have) no involvement in relation to accepting any Bets on Events.

- 4.19 No Participant will, in a professional or sport-related capacity, associate with any Related Person who:
- (a) is serving any period of ineligibility or suspension (whether provisional or otherwise) in terms of the ITIA's "Tennis Anti-Corruption Program" and/or in terms of these Anti-Corruption Regulations; or
 - (b) has been convicted or found in criminal, disciplinary or professional proceedings to have engaged in conduct that would have constituted an Anti-Corruption Offence if the ITIA's "Tennis Anti-Corruption Program" and/or these Anti-Corruption Regulations had been applicable to such person. The disqualifying status of such person will be in force for the longer of two years from the criminal, disciplinary or professional decision or the duration of the criminal, disciplinary or professional sanction imposed; or
 - (c) is serving as a front or intermediary for an individual described in paragraphs 4.19(a) or 4.19(b) of these Anti-Corruption Regulations.

In order to prove a breach of this paragraph 4.19, the LTA must establish that the Participant knew of the Related Person's period of ineligibility, suspension or disqualified status as referred to above.

If the Participant establishes either:

- (d) that their association with a Related Person described in paragraphs 4.19(a) or 4.19(b) of these Anti-Corruption Regulations is not in a professional or sport-related capacity; or
- (e) that such association could not have been reasonably avoided,

that will be a complete defence to the charge that the Participant has breached paragraph 4.19 of these Anti-Corruption Regulations.

- 4.20 No Participant will breach or fail or refuse to comply with the reporting obligations set out in paragraphs 5, 6, 7 and 8 of these Anti-Corruption Regulations.
- 4.21 No Participant will breach or fail or refuse to comply with the obligation to cooperate set out in paragraph 9 of these Anti-Corruption Regulations.

REPORTING OBLIGATIONS

5. If any Player:
- 5.1 is approached by any person who requests the Player to: (a) influence the outcome or any other aspect of any Event; or (b) provide Inside Information, that Player must report such incident to the LTA as soon as possible, even if no money or other Benefit is offered or discussed;
 - 5.2 knows or suspects that any other Participant or other individual has committed an Anti-Corruption Offence, that Player must report such knowledge or suspicion to the LTA as soon as possible; and/or
 - 5.3 knows or suspects that any Participant has been involved in conduct or an incident described in paragraph 7 of these Anti-Corruption Regulations, that Player must report such knowledge or suspicion to the LTA as soon as possible.

6. A Player must report any new knowledge or suspicion regarding any Anti-Corruption Offence, even if the Player's prior knowledge or suspicion has already been reported.
7. If any Participant (including any Related Person or Tournament Support Person):
 - 7.1 is approached by any person who requests that Participant (including any Related Person or Tournament Support Person) to: (a) influence or attempt to influence the outcome of any aspect of any Event, or (b) provide Inside Information, that Participant (including any Related Person or Tournament Support Person) must report such incident to the LTA as soon as possible, even if no money or other Benefit is offered or discussed; and/or
 - 7.2 knows or suspects that any Participant or other individual has committed an Anti-Corruption Offence, that Participant (including any Related Person or Tournament Support Person) must report such knowledge or suspicion to the LTA as soon as possible.
8. Each Participant (including any Related Person or Tournament Support Person) must:
 - 8.1 report any new knowledge or suspicion regarding any Anti-Corruption Offence, even if the Participant's prior knowledge or suspicion has already been reported; and
 - 8.2 not dissuade or prevent (or seek to do so) any other Participant from complying with their reporting obligations.
9. Each Participant must fully comply with the duty to cooperate in terms of paragraphs 14, 15 and 16 of the Disciplinary Regulations.
10. For the avoidance of doubt:
 - 10.1 Each Player will be responsible for any Anti-Corruption Offence committed by any Participant if such Player either: (a) had knowledge of an Anti-Corruption Offence and failed to report such knowledge in accordance with the reporting obligations at paragraphs 5, 6, 7 and 8 of these Anti-Corruption Regulations; or (b) assisted the commission of an Anti-Corruption Offence. In such event, the Disciplinary Tribunal and/or Disciplinary Appeal Tribunal will be entitled to impose sanctions on the Player to the same extent as if the Player had committed the Anti-Corruption Offence.
 - 10.2 For an Anti-Corruption Offence to be committed, it is sufficient that an offer or solicitation was made, regardless of whether any money or other Benefit was actually paid or received.
 - 10.3 Evidence of a Player's lack of efforts or poor performance during an Event may be offered to support allegations that a Participant committed an Anti-Corruption Offence, but the absence of such evidence will not preclude a Participant from being sanctioned for an Anti-Corruption Offence.
 - 10.4 A valid defence may be made to a charge of an Anti-Corruption Offence if the person alleged to have committed the Anti-Corruption Offence: (a) promptly reports such conduct to the LTA; and (b) demonstrates that such conduct was the result of an honest and reasonable belief that there was a significant threat to that person's life or safety (or the life or safety of a member of that person's family).
 - 10.5 Establishment of an Anti-Corruption Offence will not require: (a) proof of any of the purposes described in paragraph 1 of these Anti-Corruption Regulations; (b) proof of a

corrupt motive, gambling or quid pro quo; or (c) identification of the Event to which an Anti-Corruption Offence pertains.

ENFORCEMENT

11. Any potential breach of the Anti-Corruption Regulations will be investigated, and proceedings brought and determined in accordance with the Disciplinary Regulations, subject to the sanctions provisions at paragraph 12 to 16 of these Anti-Corruption Regulations.

SANCTIONS

12. Where it is determined that a breach of the Anti-Corruption Regulations has been committed, the Disciplinary Tribunal or Disciplinary Appeal Tribunal may impose an appropriate sanction, which may include (individually in respect of each Participant involved):
 - 12.1 a fine of up to £200,000 plus an amount equal to the value of any winnings or other amounts received by the Participant in connection with any Anti-Corruption Offence;
 - 12.2 with respect to any Anti-Corruption Offence listed at paragraphs 4.3 to 4.17 or paragraph 4.20 of these Anti-Corruption Regulations, a suspension from playing, coaching, or accessing, attending or in any way receiving accreditation for any Event, (ineligibility from participation) of up to a lifetime; and/or
 - 12.3 with respect to any other Anti-Corruption Offence, a suspension (ineligibility from participation) of up to three years.
13. A Participant who has been suspended will be permitted to receive accreditation or otherwise access an Event if invited to do so by the LTA for the purpose of any authorised anti-gambling or anti-corruption education or rehabilitation programme organised and/or sanctioned by the LTA.
14. Any suspension must be a proportionate sanction in all the circumstances of the case, in particular, taking into account (i) the nature of the breach(es), (ii) the culpability of the Participant, (iii) the harm caused (or potential harm that could have been caused) to the Game, its integrity and/or the reputation of the LTA, its Members and Registered Organisations, an Event and/or a sponsor or other commercial partner of the LTA, (iv) the need to deter future breaches, and (v) any specific aggravating or mitigating factors;
15. In addition to the guidance on sanctions at paragraph 30 of the Disciplinary Regulations, when the Disciplinary Tribunal or Disciplinary Appeal Tribunal determines the appropriate sanction(s) for an Anti-Corruption Offence:
 - 15.1 aggravating factors may include:
 - (f) the age, experience and position of trust or authority of the Participant;
 - (g) the Participant's previous disciplinary record, including in particular any previous breaches of the Anti-Corruption Regulations or any similar offences;
 - (h) a lack of remorse on the part of the Participant (including, for example, refusing to participate in anti-corruption educational programmes);
 - (i) a finding that the Participant received or expected to receive a significant Benefit as a result of the breach, or where the sums of money otherwise involved in the breach were substantial;

- (j) a finding that the Participant committed more than one breach of the Anti-Corruption Regulations;
- (k) a finding that the breach was part of a wider scheme involving other Participants; and
- (l) a finding that the breach affected or had the potential to affect the course or outcome of an Event; and

15.2 mitigating factors may include:

- (a) the youth or inexperience of the Participant, and/or a finding that the Participant was taken advantage of by a more senior or experienced Participant(s);
- (b) the Participant's good previous disciplinary record;
- (c) remorse on the part of the Participant (including, for example, agreeing to participate in anti-corruption educational programmes);
- (d) a finding that the Participant did not receive or expect to receive a significant Benefit as a result of the breach, or where the sums of money otherwise involved in the breach were not substantial;
- (e) a finding that the breach did not affect or have the potential to affect the course or outcome of an Event;
- (f) the Participant's timely admission of guilt when confronted with the breach;
- (g) the Participant's cooperative behaviour during the course of the investigation and/or the proceedings relating to the breach (for example, providing information requested on a timely and complete basis); and
- (h) the Participant's provision to the LTA of truthful, accurate and complete information about potential breaches of the Anti-Corruption Regulations and/or other similar laws or regulations of which the Participant has knowledge, and full cooperation with any investigation and prosecution (whether by the LTA or another body, including a criminal or regulatory body) of such breaches, including by testifying at a hearing if required to do so.

16. For the avoidance of doubt, neither the Disciplinary Tribunal nor, if applicable, the Disciplinary Appeal Tribunal, that determines any breach of these Anti-Corruption Regulations will have any jurisdiction to adjust, reverse or amend the results of any Event or other match, competition or event. However, such matter may be referred to the LTA for consideration, or considered by the LTA at its own instigation, and the LTA will have the discretion to adjust, reverse or amend such results or to take such other remedial action as it considers appropriate.

MISCELLANEOUS

- 17. No player who has been suspended or declared ineligible from participation in terms of this Code will, during the period of suspension or ineligibility, be credited with any ranking, rating or equivalent points for any Event played during that period.
- 18. If any Participant commits an Anti-Corruption Offence during any period of suspension or ineligibility, it will be treated as a separate Anti-Corruption Offence.

19. For the avoidance of doubt, a period of suspension or ineligibility under the Anti-Corruption Regulations will be held in abeyance during (and then run subsequent to) any period of suspension or ineligibility under the Anti-Doping Regulations.
20. Where a Player is found to have corrupted an Event, they will forfeit any medals and titles obtained in that Event.